## CHAPTER XIV OUTLINE

# I. Opening Vignette

- A. Around the end of the twentieth century, reactions to the empire building of the early modern period remain varied.
  - 1. Uighur attempts to win independence from China
  - 2. Native American protests against 500th anniversary of Columbus's arrival in America

## B. Early modern European colonies were massively significant.

- 1. Russians also constructed a major empire
- 2. Qing dynasty China doubled in size
- 3. Mughal Empire of India pulled together Hindus and Muslims
- 4. Ottoman Empire reestablished some of the older political unity of the Islamic heartland
- C. The empires of the early modern era show a new stage in globalization.

# II. European Empires in the Americas

- A. Western European empires were marked by maritime expansion.
  - 1. Spaniards in Caribbean, then on to Aztec and Inca empires
  - 2. Portuguese in Brazil
  - 3. British, French, and Dutch colonies in North America
  - 4. Europeans controlled most of the Americas by the mid-nineteenth century

## B. The European Advantage

- 1. Geography: European Atlantic states were well positioned for involvement in the Americas
- 2. Need: Chinese and Indians had such rich markets in the Indian Ocean that there wasn't much incentive to go beyond
- 3. Marginality: Europeans were aware of their marginal position in Eurasian commerce and wanted to change it
- 4. Rivalry: interstate rivalry drove rulers to compete
- 5. Merchants: growing merchant class wanted direct access to Asian wealth
- 6. Wealth and status: colonies were an opportunity for impoverished nobles and commoners
- 7. Religion:
  - a. crusading zeal
  - b. persecuted minorities looking for more freedom
- 8. European states and trading companies mobilized resources well
  - a. seafaring technology
  - b. iron, gunpowder weapons, and horses gave Europeans an initial advantage over people in the Americas
- 9. Rivalries within the Americas provided allies for European invaders

## C. The Great Dying—the demographic collapse of Native American societies

- 1. Pre-Columbian Western Hemisphere had a population of perhaps 60 million-80 million
- 2. No immunity to Old World diseases
- 3. Europeans brought European and African diseases
  - a. mortality rate of up to 90 percent among Native American populations
  - b. native population nearly vanished in the Caribbean
  - c. Central Mexico: population dropped from 10 million–20 million to around 1 million by 1650
  - d. similar mortality in North America

#### D. The Columbian Exchange

- 1. Massive native mortality created a labor shortage in the Americas
- 2. Migrant Europeans and African slaves created entirely new societies
- 3. American food crops (e.g., corn, potatoes, and cassava) spread widely in the Eastern Hemisphere
  - a. potatoes especially allowed enormous population growth
  - b. corn and sweet potatoes were important in China and Africa
- 4. Exchange with the Americas reshaped the world economy
  - a. importation of millions of African slaves to the Americas
  - b. new and lasting link among Africa, Europe, and the Americas
- 5. Network of communication, migration, trade, transfer of plants and animals (including microbes) is called "the Columbian exchange"
  - a. The Atlantic world connected four continents
  - b. Europeans got most of the rewards

# III. Comparing Colonial Societies in the Americas

- A. Europeans not just conquered and governed established societies, created wholly new societies.
  - 1. All were shaped by mercantilism—theory that governments should encourage exports and accumulate bullion to serve their countries
  - 2. Colonies should provide closed markets for the mother country's manufactured goods
  - 3. But colonies differed widely, depending on native cultures and the sorts of economy that were established

## B. In the Lands of the Aztecs and the Incas

- 1. Spanish conquest of the Aztec and Inca empires (early sixteenth century)
  - a. The most wealthy, urbanized, and populous regions of the Western Hemisphere
  - b. Within a century, the Spaniards established major cities, universities, and a religious and bureaucratic infrastructure
- 2. Economic basis of the colonial society was commercial agriculture and mining (gold and silver)
- 3. Rise of a distinctive social order
  - a. Replicated some of the Spanish class hierarchy
  - b. Accommodated Indians, Africans, and racially mixed people
  - c. Spaniards were at the top, increasingly wanted a large measure of self-government from the Spanish Crown
  - d. Emergence of mestizo (mixed-race) population
  - e. Gross abuse and exploitation of the Indians
  - f. More racial fluidity than in North America

#### C. Colonies of Sugar

- 1. Lowland Brazil and the Caribbean developed a different society
  - a. Regions had not been home to great civilizations and didn't have great mineral wealth until the 1690s
  - b. But sugar was in high demand in Europe
  - c. These colonies produced almost solely for export
- 2. Arabs introduced large-scale sugar production to the Mediterranean
  - a. Europeans transferred it to Atlantic islands and Americas
  - b. Portuguese on Brazilian coast dominated the world sugar market 1570–1670
  - c. Then British, French, and Dutch in the Caribbean broke the Portuguese monopoly

- 3. Sugar transformed Brazil and the Caribbean
  - a. Production was labor intensive, worked best on large scale
  - b. Can be called the first modern industry
  - c. Had always been produced with massive use of slave labor
  - d. Indians of the area were almost totally wiped out or fled
  - e. Planters turned to African slaves—80 percent of all Africans enslaved in the Americas ended up in Brazil and the Caribbean
- 4. Much more of Brazilian and Caribbean society was of African descent
- 5. Large mixed-race population provided much of urban skilled workforce and supervisors in sugar industry
- 6. Plantation complex based on African slavery spread to southern parts of North America
  - a. But in North America, European women came earlier
  - b. Result was less racial mixing, less tolerance toward mixed blood
  - c. Sharply defined racial system evolved
  - d. Slavery was less harsh

#### D. Settler Colonies in North America

- 1. A different sort of colonial society emerged in British colonies of New England, New York, and Pennsylvania
  - a. British got into the game late; got the unpromising lands
  - b. but British society was changing more rapidly than Catholic Spain
- 2. Many British colonists were trying to escape elements of European society
- 3. British settlers were more numerous; by 1750, they outnumbered Spaniards in New World by five to one
  - a. by 1776, 90 percent of population of North American colonies was European
  - b. Indians were killed off by disease and military policy
  - c. small-scale farming didn't need slaves
- 4. England was mostly Protestant; didn't proselytize like the Catholics
- 5. British colonies developed traditions of local self-government
  - a. Britain didn't impose an elaborate bureaucracy like Spain
  - b. British civil war (seventeenth century) distracted government from involvement in the colonies
- 6. North America gradually became dominant, more developed than South America

# IV. The Steppes and Siberia: The Making of a Russian Empire

- A. A small Russian state centered on Moscow began to emerge ca. 1500.
  - 1. Moscow began to conquer neighboring cities
  - 2. Over three centuries grew into a massive empire
  - 3. Early expansion into the grasslands to south and east was for security against nomads
  - 4. Expansion into Siberia was a matter of opportunity (especially furs), not threat

#### B. Experiencing the Russian Empire

- 1. Conquest was made possible by modern weapons and organization
- 2. Conquest brought devastating epidemics, especially in remote areas of Siberia—locals had no immunity to smallpox and measles
- 3. Pressure to convert to Christianity
- 4. Large-scale settlement of Russians in the new lands, where they outnumbered the native population (e.g., in Siberia)
- 5. Discouragement of pastoralism
- 6. Many natives were Russified

## C. Russians and Empire

- 1. With imperial expansion, Russians became a smaller proportion of the overall population
- 2. Rich agricultural lands, furs, and minerals helped make Russia a great power by the eighteenth century
- 3. Became an Asian power as well as a European one
- 4. Long-term Russian identity problem
  - a. expansion made Russia a very militarized state
  - b. reinforced autocracy
- 5. Colonization experience was different from the Americas
  - a. conquest of territories with which Russia had long interacted
  - b. conquest took place at the same time as development of the Russian state
  - c. the Russian Empire remained intact until 1991

# V. Asian Empires

#### A. Asian empires were regional, not global.

- 1. Creation of Asian empires did not include massive epidemics
- 2. Did not fundamentally transform their homelands like interaction with the Americas and Siberia did for European powers

## B. Making China an Empire

- 1. Qing dynasty (1644–1912) launched enormous imperial expansion to the north and west
- 2. Nomads of the north and west were very familiar to the Chinese
  - a. 80-year-long Chinese conquest (1680–1760)
  - b. motivated by security fears; reaction to Zunghar state
- 3. China evolved into a Central Asian empire
- 4. Conquered territory was ruled separately from the rest of China through the Court of Colonial Affairs
  - a. considerable use of local elites to govern
  - b. officials often imitated Chinese ways
  - c. but government did not try to assimilate conquered peoples
  - d. little Chinese settlement in the conquered regions
- 5. Russian and Chinese rule impoverished Central Asia, turned it into a backward region

#### C. Muslims and Hindus in the Mughal Empire

- 1. Mughals united much of India between 1526 and 1707
- 2. The Mughal Empire's most important divide was religious
- 3. Emperor Akbar (r. 1556–1605) attempted serious accommodation of the Hindu majority
  - a. brought many Hindus into the political-military elite
  - b. imposed a policy of toleration
  - c. abolished payment of jizya by non-Muslims
  - d. created a state cult that stressed loyalty to the emperor
  - e. Akbar and his successors encouraged a hybrid Indian-Persian-Turkic culture
- 4. Mughal toleration provoked reaction among some Muslims
  - a. Emperor Aurangzeb (r. 1658–1707) reversed Mughal policy, tried to impose Islamic supremacy
  - b. Aurangzeb banned sati (widow burning), music, and dance at court, various vices
  - c. Destruction of some Hindu temples
  - d. Reimposition of jizya
- 5. Aurangzeb's policy provoked Hindu reaction

#### D. Muslims, Christians, and the Ottoman Empire

- 1. The Ottoman Empire was the Islamic world's most important empire in the early modern period
- 2. Long conflict (1534–1639) between Sunni Ottomans and Shia Safavids
- 3. The Ottoman Empire was the site of a significant cross-cultural encounter
  - a. In Anatolia, most of the conquered Christians converted to Islam
  - b. In the Balkans, Christian subjects mostly remained Christian
- 4. In the Balkans, many Christians welcomed Ottoman conquest
  - a. Ottoman taxed less and were less oppressive
  - b. Christian churches received considerable autonomy
  - c. Balkan elites were accepted among the Ottoman elite without conversion
- 5. Jewish refugees from Spain had more opportunities in the Ottoman Empire
- 6. devshirme: tribute of boys paid by Christian Balkan communities
  - a. Boys were converted to Islam, trained to serve the state
  - b. The devshirme was a means of upward social mobility
- 7. The Ottoman state threatened Christendom
- 8. Some Europeans admired Ottoman rule
  - a. Philosopher Jean Bodin (sixteenth century) praised Ottoman religious tolerance
  - b. European merchants evaded papal bans on selling firearms to the Turks
  - c. Ottoman women enjoyed relative freedom

## VI. Reflections: Countering Eurocentrism . . . or Reflecting It?

- A. The chapter brought together stories of European, Russian, Chinese, Mughal, and Ottoman colonization to counteract a Eurocentric view of the early modern world.
- B. Western European empires still receive more discussion space because they were different and more significant than the others.
  - 1. They were something wholly new in human history
  - 2. They had a much greater impact on the people they incorporated
- C. Eurocentrism continues to be a controversial issue among world historians.